PTO/SB/21 (modified) Approved for use through xx/xx/xx, OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Department of Commerce Application Number 10/033,857 Patent and Trademark Office Filing Date November 2, 2001 TRANSMITTAL FORM First Named Inventor Li Sha (to be used for all correspondence during pendency of Group Art Unit Number 2614 filed application) **Examiner Name** not yet known Total Number of Pages in This Submission Attorney Docket Number 22682-06281 **ENCLOSURES** (check all that apply) Fee Transmittal Form (in duplicate) Issue Fee Transmittal Check Enclosed Letter to Chief Draftsperson AUG 25 | 2003 Return Receipt Postcard Formal Drawing(s): Response to Notice to File Missing Parts [] Sheet(s) of Figure(s) [] Appeal Communication to Board of A Assignment & Recordation Cover Sheet Interferences Declaration Power of Attorney Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) **Application Data Sheet** Information Disclosure Statement & PTO/SB/08A Certified Copy of Priority Document(s) After Allowance Communication to Group Copies of IDS Cited References AUG 1 3 2003 Request for Corrected Filing Receipt Technology Clenter 2600 Request for Correction of Recorded Assignment Amendment/Response: [] Page(s) After Final Status Request Revocation and Substitute Power of Attorney REMARKS: *Page count does not reflect references. SIGNATURE OF ATTORNEY OR AGENT Signature: Attorney/Reg. No.: Nathan Huynh, Reg. No. 53,052 Dated: **CERTIFICATE OF MAILING** I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. Signature: Typed or Printed Name: Nathan Huynh Dated:

Express Mail Mailing Number (optional):

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ICANTS:

Li Sha, Shuhua Xiang & Wang Xu

APPLICATION NO.:

10/033,857

FILING DATE:

November 2, 2001

TITLE:

Video Processing Control And Scheduling

EXAMINER:

not yet known

GROUP ART UNIT:

2614

ATTY. DKT. NO.:

22682-06281

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

By: Nathan Huvnh, Reg. No.: 53.052

COMMISSIONER FOR PATENTS P.O. BOX 1450

ALEXANDRIA, VA 22313-1450

RECEIVED

AUG 1 3 2003

INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

Technology Center 2600

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

| \boxtimes | within three months of the filing date of the application, or date of entry |
|-------------|---|
| | into the national stage of an international application, or before the mailing |
| | date of a first office action on the merits, whichever event last occurred; |
| \Box | hefore the mailing of a first official action after the filing of a request for |

before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

| ш | anci | THEE III | onuis of the fifting date of this national application or the date | | | | |
|---|---|--|--|--|--|--|--|
| | of ent | ry of th | e national stage in an international application, or after the | | | | |
| | mailir | mailing date of the first official action on the merits, whichever event l | | | | | |
| | occum | before the mailing date of the first to occur of either: (1) a | | | | | |
| | final a | nder 37 CFR §1.113; or (2) an action that otherwise closes | | | | | |
| | prosec | cution i | n the application, and: | | | | |
| | | attac | hed hereto is the fee set forth under 37 CFR §1.17(p) for | | | | |
| | | subn | nission of this Information Disclosure Statement under 37 | | | | |
| | | CFR | .§ 1.97(c); OR | | | | |
| | | Appl | Applicant certifies pursuant to 37 CFR § 1.97(e) that: | | | | |
| | | | each item of information contained in this Information | | | | |
| | | | Disclosure Statement was first cited in a communication | | | | |
| | | | from a foreign patent office in a counterpart foreign | | | | |
| | | | application not more than three months prior to the filing of | | | | |
| | | | this Statement; OR | | | | |
| | | | no item of information contained in this Information | | | | |
| | | | Disclosure Statement was cited in a communication from a | | | | |
| | | | foreign patent office in a counterpart foreign application | | | | |
| | | | and, to the knowledge of the person signing this | | | | |
| | | | certification after making reasonable inquiry, no item of | | | | |
| | | | information contained in this Statement was known to any | | | | |
| | | | individual designated under 37 CFR § 1.56(c) more than | | | | |
| | | | three months prior to the filing of this Statement; | | | | |
| | on or before the payment of the issue fee but after the mailing date of the | | | | | | |
| | | of either: (1) a final action under 37 CFR § 1.113; (2) a | | | | | |
| | | | wance under 37 CFR § 1.311; or (3) an action that otherwise | | | | |
| | closes | _ | ution in the application, and: | | | | |
| | | cant certifies pursuant to 37 CFR. § 1.97(e) that: | | | | | |
| | | | each item of information contained in this Information | | | | |
| | | | Disclosure Statement was cited in a communication from a | | | | |
| | | | foreign patent office in a counterpart foreign application not | | | | |
| | | | more than three months prior to the filing of this Statement; | | | | |
| | | _ | or | | | | |
| | | | no item of information contained in this Information | | | | |
| | | | Disclosure Statement was cited in a communication from a | | | | |
| | | | foreign patent office in a counterpart foreign application | | | | |
| | | | and, to the knowledge of the person signing this | | | | |

| | | | | certification after making reasonable inquiry, no item of | | | |
|-----------------------|---|---|--|--|--|--|--|
| | | | | information contained in this Statement was known to any | | | |
| | | | | individual designated under 37 CFR § 1.56(c) more than | | | |
| | | | | three months prior to the filing of this Statement; AND | | | |
| | | | | attached hereto is the fee set forth under 37 CFR §1.17(p) for | | | |
| | | | | submission of this Information Disclosure Statement under 37 | | | |
| | | | | CFR.§ 1.97(c); OR | | | |
| | | | after th | he payment of the issue fee. Applicant requests that the information | | | |
| | | | contain | ned in this Information Disclosure Statement be placed in the file | | | |
| | | | accord | ling to 37 CFR § 1.97(i), although the information may not be | | | |
| | | | consid | ered by the USPTO. | | | |
| | | This a | pplication | on relies, under 35 U.S.C. § 120, on the earlier filing date of prior | | | |
| | | applic | ation No | o.[APPLICATION NUMBER], filed on [FILING DATE], and the | | | |
| | | refere | nces cite | ed therein are hereby referenced, but are not required to be provided | | | |
| | in this application under 37 CFR § 1.98(d). | | | | | | |
| | | Each i | tem of i | nformation contained in this Information Disclosure Statement was | | | |
| | | | | munication from a foreign patent office in a counterpart application, | | | |
| | | and the communication was not received by any individual designated in 37 C | | | | | |
| | • | § 1.56 | § 1.56(c) more than thirty days prior to the filing of this Information Disclosure | | | | |
| | | Staten | nent. 37 | CFR § 1.704(d). | | | |
| | \boxtimes | Applic | ant sub | mits that no fee is required for the consideration of this Information | | | |
| Disclosure Statement. | | | | itement. | | | |
| | Consid | deration | of the 1 | isted references and favorable action are solicited. | | | |
| | | | | Respectfully submitted, | | | |
| | | | | SHA LI ET AL. | | | |
| | | | | | | | |
| D.4.1 | 1 | 101 | 2 002 | By: Math S. 140 | | | |
| Dated: | | /°/ | 2003 | By: S | | | |
| | | | | Fenwick & West LLP | | | |
| | | | | Silicon Valley Center | | | |
| | | | | 801 California Street | | | |
| | | | | Mountain View, CA 94041 | | | |
| | | | | Tel.: (650) 335-7211 Fax.: (650) 938-5200 | | | |
| | | | | 1 min (000) 200 0200 | | | |

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO Complete if Known Application No. 10/033,857 INFORMATION DISCLOSURE Filing Date November 2, 2001 STATEMENT BY APPLICANT **First Named Inventor** Sha Li Art Unit 2614 **Examiner Name** not yet known of Attorney Docket Number 22682-06281 Sheet 1 1

| | | | U.S. PAT | ENT DOCUMENTS |
|-----------------------|--------------|--|--------------------|--|
| | | Document No. | | |
| Examiner Initials* | Cite No.1 | Number – Kind Code ² (if known) | Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
| | | US-6,587,057 B2 | 07-01-2003 | Scheuermann |
| | | US-6,552,673 B2 | 04-22-2003 | Webb . |
| | | US-6,507,293 B2 | 01-14-2003 | Deeley et al. |
| | | US-5,835,145 | 11-10-1998 | Ouyang et al. |
| | | US-5,706,002 | 01-06-1998 | Meehan et al. |
| | | US-5,706,001 | 01-06-1998 | Sohn RECEIVED |
| | | US-5,694,127 | 12-02-1997 | Tayama |
| | | US- | | AUG 1 3 2003 |
| | | US- | | T |
| | | US- | | Technology Center 2¢0 |
| - | | US- | | |
| | | US- | | · |
| | | US- | | · |
| | - | US- | | |
| | | US- | | |
| | | US- | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|---|--------------------------------|--|----------------|--|
| | | Foreign Patent Document | | | | |
| Examiner Initials* | Cite No. ¹ | Country Code ³ – Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T ⁶ | |
| | | | | | | |
| | | | | | | |
| | | | 1 | | | |

| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |
| | | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.